

Case Docket No. LATTA.002C3

Date: March 4, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Paul P. Latta

Appl. No.

10/660,924

Filed

September 12, 2003

For

INDUCTION OF

**IMMUNOLOGICAL** 

**TOLERANCE** 

Examiner

Unknown

Group Art Unit:

1632

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

March 4, 2004

Marina L. Gordey, Reg. No. 52,950

#### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with fifty (50) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

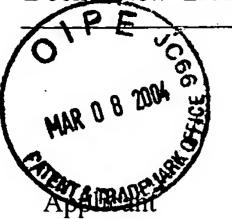
Marina L/Gordey

Registration No. 52,950

Agent of Record

Customer No. 20,995

(805) 547-5580



# INFORMATION DISCLOSURE STATEMENT

Paul P. Latta

App. No. : 10/660,924

Filed: September 12, 2003

For : INDUCTION OF IMMUNOLOGICAL

**TOLERANCE** 

Examiner : Unknown

Group Art Unit : 1632

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

Enclosed is form PTO-1449 listing 50 references that are of record in U.S. patent application No. 09/226,742, filed January 7, 1999, which is the parent of this Continuation application, and is relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

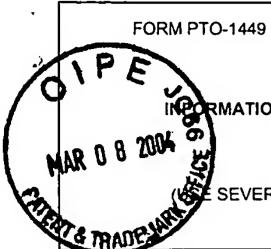
KNOBBE, MARTENS, OŁSON & BEAR, LLP

Dated: March 3, 2004

By:

Marina L. Gordey Registration No. 52,950 Agent of Record Customer No. 20,995

(805) 547-5580



U.S. DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. LATTA.002C3

APPLICATION NO. 10/660,924

INPORMATION DISCLOSURE STATEMENT
BY APPLICANT

SEVERAL SHEETS IF NECESSARY)

APPLICANT PAUL P. LATTA

FILING DATE September 12, 2003 GROUP 1632

	· · · · · · · · · · · · · · · · · · ·			U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	4.298,002	11/03/81	RONEL et al.			
	2.	4,353,888	10/12/82	SEFTON			
	3.	4,378,016	03/29/83	LOEB			
	4.	4,673,566	06/16/87	GOOSEN et al.			
	5.	4,689,293	08/25/87	GOOSEN et al.			
	6.	4,696,286	09/29/87	COCHRUM			
	7.	4,806,355	02/21/89	GOOSEN et al.			
	8.	4,892,538	01/09/90	AEBISCHER et al.			
	9.	4,902,295	02/20/90	WALTHALL et al.			
	10.	4,997,443	03/05/91	WALTHALL et al.			
	11.	5,182,111	01/26/93	AEBISCHER et al.			
	12.	5,262,044	11/16/93	BAE et al.			
	13.	5,290,684	03/01/94	KELLY		×	
	14.	5,529,914	06/25/96	HUBBELL et al.			*
	15.	5,425,764	06/20/95	FOURNIER et al.			

	FOREIGN PATENT DOCUMENTS							
EXAMINER	DOCUMENT NUMBER		DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL							YES	NO
	16.	A2 0,147,939	10/07/85	EPO				
	17.	A1 2,034,641	28/05/92	CANADA				
	18.	WO 92/19195	12/11/92	PCT				
	19.	WO 95/03062	02/02/95	PCT				
	20.	0 536 807 A1	04/02/87	EP				

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
	21.	Aebischer, P. et al., "LONG-TERM CROSS-SPECIES BRAIN TRANSPLANTATION OF A POLYMER-ENCAPSULATED DOPAMINE-SECRETING CELL LINE" Experimental Neurology (1991) 111:269-275		
	22.	Aebischer, P. et al., "TRANSPLANTATION OF POLYMER ENCAPSULATED NEUROTRANSMITTER SECRETING CELLS: EFFECT OF THE ENCAPSULATION TECHNIQUE" Journal of Biomechanical Engineering (1991) 113:178-183		

FXAN	<b>JINE</b>	R

DATE CONSIDERED

	FORM PTO-144
a <sup>t</sup>	•
	IDA

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. LATTA.002C3

APPLICATION NO. 10/660,924

MAR 0 8 2004 8

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

SEVERAL SHEETS IF NECESSARY)

APPLICANT PAUL P. LATTA

FILING DATE September 12, 2003 GROUP 1632

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)			
	23.	Bartlett, S.T. et al., "COMPOSITE KIDNEY-ISLET TRANSPLANTATION PREVENTS RECURRENT AUTOIMMUNE BETA-CELL DESTRUCTION" Surgery (1993) 114:211-217		
	24.	Buchser, et al., "IMMUNOISOLATED XENOGENIC CHROMAFFIN CELL THERAPY FOR CHRONIC PAIN. INITIAL CLINICAL EXPERIENCE" Anesthesiol., (1996) 85:1005-1012		
	25.	Chicheportiche, D. et al., "IN VITRO KINETICS OF INSULIN RELEASE BY MICROENCAPSULATED RAT ISLETS: EFFECT OF THE SIZE OF THE MICROCAPSULES" Diabetologia (1988) 31:54-57		
	26.	Colton, C.K. (1995), "IMPLANTABLE BIOHYBRID ARTIFICIAL ORGANS" Cell Transplantation 4(4):415-436.		
	27.	Dixit, V. et al., "A MORPHOLOGICAL AND FUNCTIONAL EVALUATION OF TRANSPLANTED ISOLATED ENCAPSULATED HEPATOCYTES FOLLOWING LONG-TERM TRANSPLANTATION IN GUNN RATS" Biomat. Art. Cells & Immob. Biotech. (1993) 21(2):119-133		
	28.	Gao, E-K et al., "T CELL CONTACT WITH Ia ANTIGENS ON NONHEMOPOIETIC CELLS IN VIVO CAN LEAD TO IMMUNITY RATHER THAN TOLERANCE" J. Exp. Med. (1991)174:435-446		
	29.	Gilbert, J.C. et al., "CELL TRANSPLANTATION OF GENETICALLY ALTERED CELLS ON BIODEGRADABLE POLYMER SCAFFOLDS IN SYNGENEIC RATS" <i>Transplantation</i> (1993) 56(2):423-427		
	30.	Hansan, et al., "EVIDENCE THAT LONG-TERM SURVIVAL OF CONCORDANT XENOGRAFTS IS ACHIEVED BY INHIBITION OF ANTISPECIES ANTIBODY PRODUCTION" Transplantation, (1992) 54:408-413		
	31.	Hill, R.S. et al., "MEMBRANE ENCAPSULATED ISLETS IMPLANTED IN EPIDIDYMAL FAT PADS CORRECT DIABETES IN RATS" Cell Transplantation (1992) 1(213):132 p. 168		
	32.	Hoffman, D. et al., "TRANSPLANTATION OF A POLYMER-ENCAPSULATED CELL LINE GENETICALLY ENGINEERED TO RELEASE NGF" Experimental Neurology (1993) 122:100-106		
	33.	Husby, s. et al., "ORAL TOLERANCE IN HUMANS. T CELL BUT NOT B CELL TOLERANCE AFTER ANTIGEN FEEDING" J. Immunol., (1994)152:4663-4670		
	34.	Kneteman, N.M. et al., "ISOLATION AND CRYOPRESERVATION OF HUMAN PANCREATIC ISLETS" Transplantation Proceedings (1986) XVIII(1):182-185		
	35.	Lacy, P.E. et al., "MAINTENANCE OF NORMOGLYCEMIA IN DIABETIC MICE BY SUBCUTANEOUS XENOGRAFTS OF ENCAPSULATED ISLETS" Science (1991) 254:1782-1784		
	36.	Lanza, R.P. et al., "XENOTRANSPLANTATION OF CANINE, BOVINE, AND PORCINE ISLET" PNAS USA (1991) 88:11100-11104.		
	37.	Lanza, R.P. et al., "TRANSPLANTATION OF ENCAPSULATED CANINE ISLETS INTO SPONTANEOUSLY" Endocrinology (1992), 131(2):637-642		
	38.	Liu, H. et al., "EXPRESSION OF HUMAN FACTOR IX BY MICROENCAPSULATED RECOMBINANT FIBROBLASTS" Human Gene Therapy (1993) 4:291-301		
	39.	Lum, Z. et al., "PROLONGED REVERSAL OF DIABETIC STATE IN NOD MICE BY XENOGRAFTS OF MICROENCAPSULATED RAT ISLETS" Diabetes (1991) 40:1511-1516		
	40.	Nossal, G.J.V. "IMMUNOLOGICAL TOLERANCE" in: Fundamental Immunology, Second Edition, edited by W.E. Paul, Raven Press, New York, pp 571-585 (1989)		
	41.	Osband, ME et al., "PROBLEMS IN THE INVESTIGATIONAL STUDY AND CLINICAL USE OF CANCER IMMUNOTHERAPY" Immunological Today, (1990) 11(6):193-195		
	42.	Posselt, A.M. et al., "INDUCTION OF DONOR-SPECIFIC UNRESPONSIVENESS BY INTRATHYMIC ISLET TRANSPLANTATION" Science (1990) 249:1293-1295		
	43.	Posselt, A.M. et al., "INTRATHYMIC ISLET TRANSPLANTATION IN THE SPONTANEOUSLY DIABETIC BB RAT" Ann. Surg. (1991) 214(4):363-373		

E>	/ / 1	L AI	ĸ.	_
Ç/	V	VII	1.4	

DATE CONSIDERED

$\sim$ $\sim$	EE	T 2	$\triangle$	2
ЭП		יני	v.	-3

	EORM PTO-1449  U.S. DEPARTMENT OF COMMERCE  PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. LATTA.002C3	APPLICATION NO. 10/660,924
12	MAR 0 8 2004 OF BY APPLICANT	APPLICANT PAUL P. LATTA	
\C	RADE ARTS SEVERAL SHEETS IF NECESSARY)	FILING DATE September 12, 2003	GROUP 1632

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)				
	44.	Ricordi, C. et al., "AUTOMATED METHOD FOR ISOLATION OF HUMAN PANCREATIC ISLETS" Diabetes (1988) 37:413-420			
	45.	Soon-Shiong, P. et a., "PREVENTION OF CTL AND NK CELL-MEDIATED CYTOTOXICITY BY MICROENCAPSULATION" Hormone Metab. Res. (1990) 25 (suppl.): 215-219			
	46.	Sullivan, S.J. et al., "BIOHYBRID ARTIFICIAL PANCREAS: LONG-TERM IMPLANTATION STUDIES IN DIABETIC, PANCREATECTOMIZED DOGS" Science (1991) 252:718-720			
	47.	Tai, I.T. et al., "MICROENCAPSULATION OF RECOMBINANT CELLS: A NEW DELIVERY SYSTEM FOR GENE THERAPY" FASEB J. (1993) 7:1061-1069			
	48.	Tueveson, G et al., "NEW IMMUNOSUPPRESSANTS: TESTING AND DEVELOPMENT IN ANIMAL MODELS AND THE CLINIC: WITH SPECIAL REFERENCE TO DSG" Immunological Reviews, (1993)136:99-109			
	49.	Tresco, P.A. et al., "POLYMER ENCAPSULATED NEUROTRANSMITTER SECRETING CELLS POTENTIAL TREATMENT FOR PARKINSON'S DISEASE" ASAIO Journal (1992) 38:17-23			
	50.	Wong, H. et al., "THE MICROENCAPSULATION OF CELLS WITHIN ALGINATE POLY-L-LYSINE MICROCAPSULES PREPARED WITH THE STANDARD SINGLE STEP DROP TECHNIQUE: HISTOLOGICALLY IDENTIFIED MEMBRANE IMPERFECTIONS AND THE ASSOCIATED GRAFT REJECTION" Biomat., Art. Cells & Immob. Biotech. (1991) 19(4):675-686			

O:\DOCS\MXG\MXG-4621.DOC:sh 021304